

# Board of Accountancy Washington State Enforcement Report

<b>Quarterly Report (Oct 01, 2023 through Dec 31, 2023)</b>			
<b>Complaint Workload</b>			
	Received in Previous Period	Received in Report Period	Total
Complaints Open	10	9	19
Closed with No Action	(1)	(3)	(4)
Closed with Action Taken	0	0	0
<b>Complaints at End of Period</b>	<b>9</b>	<b>6</b>	<b>15</b>
<b>Details of Complaint Closures</b>			
Closed Disposition	Administrative	Public Harm	Total
No Action Taken	0	4	4
Revocation, Suspension, Restriction	0	0	0
Fines, costs, and other sanctions	0	0	0
Remedial Resolution (Policy 2004-1)	0	0	0
<b>Total Closed</b>	<b>0</b>	<b>4</b>	<b>4</b>

<b>Twelve-Month Lookback (Jan 01, 2023 through Dec 31, 2023)</b>			
<b>Complaint Workload</b>			
	Received in Previous Period	Received in Report Period	Total
Complaints Open	16	43	59
Closed with No Action	(15)	(27)	(42)
Closed with Action Taken	0	(2)	(2)
<b>Complaints at End of Period</b>	<b>1</b>	<b>14</b>	<b>15</b>
<b>Details of Complaint Closures</b>			
Closed Disposition	Administrative	Public Harm	Total
No Action Taken	0	42	42
Revocation, Suspension, Restriction	0	0	0
Fines, costs, and other sanctions	0	0	0
Remedial Resolution (Policy 2004-1)	0	2	2
<b>Total Closed</b>	<b>0</b>	<b>44</b>	<b>44</b>

# Board of Accountancy Washington State

## All complaints - resolved with and without discipline

	<u>Jan 2023 to Dec 2023</u>		<u>Jan 2022 to Dec 2022</u>	
	<i>All</i>	<i>Action Only</i>	<i>All</i>	<i>Action Only</i>
<b>Public Harm</b>				
Negligence - Attest related	0	0	1	1
Negligence, Disregard of standards	34	0	65	6
Use of Restricted Titles	6	2	13	2
Embezzlement, Theft, Breach of Fid. Duty	0	0	1	0
Breach of Confidentiality	0	0	0	0
Records Retention Causing Harm	1	0	5	0
<u>Subtotal</u>	41	2	85	9
<b>Administrative</b>				
Peer Review	0	0	2	2
<u>Subtotal</u>	0	0	2	2
<b>Total</b>	<b>41</b>	<b>2</b>	<b>87</b>	<b>11</b>

<u>Oct 01, 2023 through Dec 31, 2023</u>				
<b>CBM Report</b>				
	<b>BAP</b>	<b>Action</b>	<b>No Action</b>	<b>Total</b>
Rajib Doogar	0	0	0	0
Brooke Stegmeier	0	0	1	1
Jacqueline Meucci	0	0	0	0
Tonia Campbell	0	0	0	0
Kate Dixon	0	0	0	0
Mark Hugh	0	0	0	0
Scott Newman	0	0	0	0
Thomas Sawatzki	0	0	2	2
Brian Thomas	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>