

Board of Accountancy Washington State Enforcement Report

Quarterly Report (Oct 01, 2018 through Dec 31, 2018)			
Complaint Workload			
	Received in Previous Period	Received in Report Period	Total
Complaints Open	18	26	44
Closed with No Action	(7)	(6)	(13)
Closed with Action Taken	(7)	(11)	(18)
Complaints at End of Period	4	9	13
Details of Complaint Closures			
Closed Disposition	Administrative	Public Harm	Total
No Action Taken	0	13	13
Revocation, Suspension, Restriction	0	3	3
Fines, costs, and other sanctions	0	2	2
Remedial Resolution (Policy 2004-1)	13	0	13
Total Closed	13	18	31

Twelve-Month Lookback (Jan 01, 2018 through Dec 31, 2018)			
Complaint Workload			
	Received in Previous Period	Received in Report Period	Total
Complaints Open	17	83	100
Closed with No Action	(14)	(38)	(52)
Closed with Action Taken	(3)	(32)	(35)
Complaints at End of Period	0	13	13
Details of Complaint Closures			
Closed Disposition	Administrative	Public Harm	Total
No Action Taken	0	52	52
Revocation, Suspension, Restriction	1	5	6
Fines, costs, and other sanctions	1	9	10
Remedial Resolution (Policy 2004-1)	19	0	19
Total Closed	21	66	87