

# Board of Accountancy Washington State Enforcement Report

<u>Quarterly Report (Oct 01, 2024 through Dec 31, 2024)</u>			
Complaint Workload			
	Received in Previous Period	Received in Report Period	Total
Complaints Open	17	18	35
Closed with No Action	(5)	(5)	(10)
Closed with Action Taken	(10)	(1)	(11)
<b>Complaints at End of Period</b>	<b>2</b>	<b>12</b>	<b>14</b>
Details of Complaint Closures			
Closed Disposition	Administrative	Public Harm	Total
No Action Taken	0	6	6
Revocation, Suspension, Restriction	0	5	5
Fines, costs, and other sanctions	0	4	4
Remedial Resolution (Policy 2004-1)	1	0	1
<b>Total Closed</b>	<b>1</b>	<b>15</b>	<b>16</b>

<u>Twelve-Month Lookback (Jan 01, 2024 through Dec 31, 2024)</u>			
Complaint Workload			
	Received in Previous Period	Received in Report Period	Total
Complaints Open	14	66	80
Closed with No Action	(9)	(42)	(51)
Closed with Action Taken	(5)	(10)	(15)
<b>Complaints at End of Period</b>	<b>0</b>	<b>14</b>	<b>14</b>
Details of Complaint Closures			
Closed Disposition	Administrative	Public Harm	Total
No Action Taken	0	46	46
Revocation, Suspension, Restriction	0	6	6
Fines, costs, and other sanctions	0	4	4
Remedial Resolution (Policy 2004-1)	1	2	3
<b>Total Closed</b>	<b>1</b>	<b>58</b>	<b>59</b>

# Board of Accountancy Washington State

## All complaints - resolved with and without discipline

	<u>Jan 2024 to Dec 2024</u>		<u>Jan 2023 to Dec 2023</u>	
	<i>All</i>	<i>Action Only</i>	<i>All</i>	<i>Action Only</i>
<b>Public Harm</b>				
Negligence - Attest related	2	1	0	0
Negligence, Disregard of standards	42	8	34	0
Use of Restricted Titles	7	2	6	2
Embezzlement, Theft, Breach of Fid. Duty	4	2	0	0
Breach of Confidentiality	0	0	0	0
Records Retention Causing Harm	1	0	1	0
<u>Subtotal</u>	56	13	41	2
<b>Administrative</b>				
Peer Review	1	1	0	0
<u>Subtotal</u>	1	1	0	0
<b>Total</b>	<b>57</b>	<b>14</b>	<b>41</b>	<b>2</b>

<u>Oct 01, 2024 through Dec 31, 2024</u>				
<b>CBM Report</b>				
	<b>BAP</b>	<b>Action</b>	<b>No Action</b>	<b>Total</b>
Rajib Doogar	0	1	2	3
Brooke Stegmeier	0	5	0	5
Cindy Kay	0	0	1	1
Tonia Campbell	0	0	1	1
Kate Dixon	0	0	0	0
Mark Hugh	0	2	0	2
Scott Newman	0	1	0	1
Thomas Sawatzki	0	0	0	0
Brian Thomas	0	0	1	1
None	0	0	5	5
<b>Total</b>	<b>0</b>	<b>9</b>	<b>10</b>	<b>24</b>