Board of Accountancy Washington State

Enforcement Report

Quarterly Report (Apr 01, 2019 through Jun 30, 2019)					
Complaint Workload					
	Received in Previous Period	Received in Report Period	Total		
Complaints Open	15	12	27		
Closed with No Action	(9)	(3)	(12)		
Closed with Action Taken	(5)	(4)	(9)		
Complaints at End of Period	1	5	6		
Details of Complaint Closures					
Closed Disposition	Administrative	Public Harm	Total		
No Action Taken	2	10	12		
Revocation, Suspension, Restriction	1	1	2		
Fines, costs, and other sanctions	3	2	5		
Remedial Resolution (Policy 2004-1)	2	0	2		
Total Closed	8	13	21		

<u>Twelve-Month Lookback (Jul 01, 2018 through Jun 30, 2019)</u>					
Complaint Workload					
	Received in Previous Period	Received in Report Period	Total		
Complaints Open	18	78	96		
Closed with No Action	(9)	(39)	(48)		
Closed with Action Taken	(9)	(33)	(42)		
Complaints at End of Period	0	6	6		
Details of Complaint Closures					
Closed Disposition	Administrative	Public Harm	Total		
No Action Taken	5	43	48		
Revocation, Suspension, Restriction	1	6	7		
Fines, costs, and other sanctions	4	5	9		
Remedial Resolution (Policy 2004-1)	25	1	26		
Total Closed	35	55	90		