

Board of Accountancy Washington State Enforcement Report

<u>Quarterly Report (Oct 01, 2022 through Dec 31, 2022)</u>			
Complaint Workload			
	Received in Previous Period	Received in Report Period	Total
Complaints Open	16	13	29
Closed with No Action	(9)	(4)	(13)
Closed with Action Taken	0	0	0
Complaints at End of Period	7	9	16
Details of Complaint Closures			
Closed Disposition	Administrative	Public Harm	Total
No Action Taken	0	13	13
Revocation, Suspension, Restriction	0	0	0
Fines, costs, and other sanctions	0	0	0
Remedial Resolution (Policy 2004-1)	0	0	0
Total Closed	0	13	13

<u>Twelve-Month Lookback (Jan 01, 2022 through Dec 31, 2022)</u>			
Complaint Workload			
	Received in Previous Period	Received in Report Period	Total
Complaints Open	22	81	103
Closed with No Action	(15)	(61)	(76)
Closed with Action Taken	(7)	(4)	(11)
Complaints at End of Period	0	16	16
Details of Complaint Closures			
Closed Disposition	Administrative	Public Harm	Total
No Action Taken	0	76	76
Revocation, Suspension, Restriction	0	4	4
Fines, costs, and other sanctions	2	5	7
Remedial Resolution (Policy 2004-1)	0	0	0
Total Closed	2	85	87

Board of Accountancy Washington State

All complaints - resolved with and without discipline

	<u>Jan 2022 to Dec 2022</u>		<u>Jan 2021 to Dec 2021</u>	
	<i>All</i>	<i>Action Only</i>	<i>All</i>	<i>Action Only</i>
Public Harm				
Negligence - Attest related	1	1	3	0
Negligence, Disregard of standards	65	6	36	2
Use of Restricted Titles	13	2	2	0
Embezzlement, Theft, Breach of Fid. Duty	1	0	0	0
Breach of Confidentiality	0	0	0	0
Records Retention Causing Harm	5	0	5	2
<u>Subtotal</u>	85	9	46	4
Administrative				
Peer Review	2	2	0	0
CPE - Deficient 16 hours or less	0	0	0	0
CPE - Deficient 17 hours or more	0	0	0	0
CPE - Deficient eligible WA ethics	0	0	0	0
CPE - Failure to respond to CPE audit	0	0	0	0
<u>Subtotal</u>	2	2	0	0
Total	87	11	46	4

<u>Oct 01, 2022 through Dec 31, 2022</u>				
CBM Report				
	BAP	Action	No Action	Total
Rajib Doogar	0	0	0	0
Brooke Stegmeier	0	0	0	0
Jacqueline Meucci	0	0	0	0
Tonia Campbell	0	0	0	0
Kate Dixon	0	0	3	3
Mark Hugh	0	0	4	4
Scott Newman	0	0	0	0
Thomas Sawatzki	0	0	2	2
Brian Thomas	0	0	4	4
Total	0	0	13	13