Board of Accountancy Washington State

Enforcement Report

| Quarterly Report (Oct 01, 2021 through Dec 21, 2021) | | | | |
|--|--------------------------------|------------------------------|-------|--|
| Complaint Workload | | | | |
| | Received in Previous Period | Received in Report Period | Total | |
| Complaints Open | 15 | 11 | 26 | |
| Closed with No Action | (6) | (1) | (7) | |
| Closed with Action Taken | 0 | 0 | 0 | |
| Complaints at End of Period | 9 | 10 | 19 | |
| Details of Complaint Closures | | | | |
| Closed Disposition | Administrative | Public Harm | Total | |
| No Action Taken | 0 | 7 | 7 | |
| Revocation, Suspension, Restriction | 0 | 0 | 0 | |
| Fines, costs, and other sanctions | 0 | 0 | 0 | |
| Remedial Resolution (Policy 2004-1) | 0 | 0 | 0 | |
| Total Closed | 0 | 7 | 7 | |

| Twelve-Month Lookback (Jan 01, 2021 through Dec 31, 2021) | | | | | |
|---|--------------------------------|------------------------------|-------|--|--|
| Complaint Workload | | | | | |
| | Received in Previous Period | Received in Report Period | Total | | |
| Complaints Open | 20 | 46 | 66 | | |
| Closed with No Action | (12) | (28) | (40) | | |
| Closed with Action Taken | (5) | (1) | (6) | | |
| Complaints at End of Period | 3 | 17 | 20 | | |
| Details of Complaint Closures | | | | | |
| Closed Disposition | Administrative | Public Harm | Total | | |
| No Action Taken | 0 | 40 | 40 | | |
| Revocation, Suspension, Restriction | 0 | 0 | 0 | | |
| Fines, costs, and other sanctions | 0 | 5 | 5 | | |
| Remedial Resolution (Policy 2004-1) | 0 | 0 | 0 | | |
| Total Closed | 0 | 45 | 45 | | |